Pediatric () DENTISTRY MICHAEL J. VON GRUBEN, DDS Notice of Privacy Practices

Patient privacy is important to the doctors and staff of Pediatric Dentistry. Our office is required by law to maintain the privacy of Protected Health Information (PHI) and to provide individuals with notice of our legal duties and privacy practices with respect to PHI. PHI is information that identifies your child and is related to your child's past, present or future physical or mental health or condition and related health care services. This Notice of Privacy Practices (Notice) explains how we may use and disclose PHI to provide treatment, payment or health care operations and for other purposes permitted or required by law. Also, this Notice describes your rights with respect to your child's PHI.

Our office is obligated to follow the terms of this notice. We will not use or disclose your child's PHI without your written authorization, except as described in this Notice. We reserve the right to change our privacy practices and the terms of this Notice at any time, provided such changes are permitted by applicable law, and to make new Notice provisions effective for all PHI that we maintain. When we make a significant change in our privacy practices, we will change this Notice and post the new Notice clearly and prominently at our practice location, and we will provide copies of the new Notice upon request.

Use and Disclosure of your Child's PHI

We may use and disclose your child's health information for different purposes, including treatment, payment and health care operations. For each of these categories, we have provided a description and an example.

description and an example. We will use PHI for treatment. We may use and disclose your child's PHI to provide, coordinate or manage your health care services. Example: Should a prescription be needed to treat your child in the office, your child's PHI may disclosed to a pharmacist.

We will use PHI for payment. We may give your child's PHI to others to bill and collect payment for treatment provided. Example: Your child's PHI will be used in billing your insurance company for treatment rendered in our office.

We will use your child's PHI for health care operations. We may use and disclose PHI in performing business activities. Example: We routinely conduct in-office chart audits to ensure correctness of billing.

Individuals involved in your care or payment for your care. We may disclose your child's health information to your family or friends or any other individual identified by you when they are involved in your child's care or in payment for your child's care. Additionally, we may disclose information about your child to a patient representative. If a person has the authority by law to make health care decisions for your child, we will treat that patient representative the same way we would treat you with respect to your child's health information.

Business associates: We contract other companies to perform services in our office. These companies may have access to your child's PHI in assisting us. In order to protect your PHI, we require all business associates to appropriately safeguard the information. Example: We contract an outside company to provide us with technical support on our computer system. In assisting us with maintaining our systems, this company has access to PHI. As required by law. We must disclose your child's PHI when required to do so by law. Any other uses and disclosures will be made only with your written authorization.

Your Child's Health Information Rights

Access. You have the right to look at or get copies of your child's health information, with limited exceptions. You must make the request in writing. You may obtain a form to request access by using the contact information listed at the end of this Notice. You may also request access by sending us a letter to the address at the end of this Notice. If you request information that we maintain on paper, we may provide photocopies. If you request information that we maintain electronic copy. We will use the form and format you request for a electronic copy. We will charge you a reasonable cost-based fee for the cost of the supplies and labor of copying, and for postage if you want copies

mailed to you.

Request a restriction on certain uses and disclosures of PHI. You have the right to request additional restrictions on our use or disclosure of your child's PHI by sending a written request to our Privacy Officer. We are not required to agree to your request except in the case where the disclosure is to a health plan for purposes of carrying out payment or health care operations, and the information pertains solely to a health care item or service for which you, or a person on your behalf (other than the health plan), has paid our practice in full. Request an amendment of PHI. If you feel the PHI we maintain about your child is incomplete or incorrect, you may request that we amend it. You may request an amendment for as long as we maintain the PHI. To request an amendment to your child's PHI, contact our office. You must include supporting reasons for the amendment. In certain cases, we may deny your request for amendment. If our office denies your request, you have the right to file a statement of disagreement, and we may give rebuttal to your statement. Receive an accounting of disclosures of PHI. You have the right to receive an accounting of the disclosures we have made of your child's PHI for purposes other than treatment, payment or health care operations. The accounting may exclude certain disclosures, such as disclosures made directly to you, disclosures you authorize, and disclosures to friends and family members involved in your child's care. The right to receive an accounting is subject to certain other exceptions, restrictions and limitations. To request an accounting of disclosure you must submit your written request to our Privacy Officer. Your request must specify the time period for which you wish to obtain accounting, which may not exceed six years.

The first accounting you request within a 12 month period will be provided free of charge, but you may be charged for the cost of providing additional accountings.

Request communications of PHI by alternative means or at alternative locations. You have the right to request to receive communications of PHI by alternative means. For example, you may want recall cards sent to a post office instead of your home address. Your request must be made in writing. If we cannot communicate with you using these alternative means, we may resort to using other contact information we have.

Right to notification of a breach. You will receive notifications of breaches of your unsecured protected health information as required by law.

Incidental Disclosures

Open Bay. We use an open bay in our office for most dental treatments. This type of environment is used for many reasons including positive behavior reinforcement (kids seeing other kids behaving well). Parts of dental treatments and /or conversations may be overheard by other patients or parents in the office. If you find that your child needs additional privacy, please request a closed door operatory. *Appointment Reminders.* As a general practice we confirm

Appointment Reminders. As a general practice we confirm upcoming appointments via phone calls as a courtesy. This is usually done one to two days before each dental appointment.

Financial Information. As a general practice we do send financial statements or letters by mail.

Questions or Problems

If you want more information about our privacy practices or have questions or concerns, please contact us. If you are concerned that we may have violated your privacy rights, you may complain to us using the contact information listed at the end of this Notice. You also may submit a written complaint to the U.S. Department of Health and Human Services. We will provide you with the address to file your complaint with the U.S. Department of Health and Human Services upon request.

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I understand that, under the Health Insurance Portability & Accountability Act of 1996 (HIPAA), I have certain rights to privacy regarding my child's protected health information. I understand that this information can and will be used to:

- Conduct, plan and direct my child's treatment and follow–up among the multiple healthcare providers who may be involved in that treatment directly or indirectly

- Obtain payment from third-party payers

- Conduct normal healthcare operations such as quality assessments and physician certifications

I acknowledge that I have received your Notice of Privacy Practices containing a more complete description of the uses and disclosures of my child's health information. I understand that this organization has the right to change its Notice of Privacy Practices from time to time and that I may contact this organization at any time to obtain a current copy of the Notice of Privacy Practices.

I understand that I may request, in writing, that you restrict how my child's private information is used or disclosed to carry out treatment, payment or health care operations. I also understand you are not required to agree to my requested restrictions; however, if you agree then you are bound to abide by such restrictions.

I understand I have the right to revoke this consent except to the extent that we have already taken action covered under this consent. If I chose to revoke this consent, I must do it in writing.

Contact Information:

Patient's Name: ____

Please list person(s) with whom we may discuss your child's health information:

Please list person(s) to whom we may release medical information, including picking up prescriptions:

Please list person(s) with permission to bring above patient(s) to appointments and make medical decisions if necessary: